

Brokerage Rates

ARN No. :	ARN-37958
ARN Name :	Prokens Opesmetrics Pvt Ltd
Category :	NP

Brokerage Period	From 01-Jul-2020 To 31-Dec-2020			
Transaction Types	ALL			
Type of Brokerage	Additional B30 Trail	Trail Brokerage		
		Year 1	Year 2	Year 3 and onwards
Hybrid				
DSP Equity & Bond Fund	1.50%	1.15% (0.95% + 0.20%)	1.15% (0.95% + 0.20%)	1.15% (0.95% + 0.20%)
DSP Equity Savings Fund	1.50%	1.10%	1.10%	1.10%
DSP Regular Savings Fund	1.75%	1.20%	1.20%	1.20%
DSP Dynamic Asset Allocation Fund	1.50%	1.10%	1.10%	1.10%
Equity				
DSP Equity Opportunities Fund	1.50%	0.90%	0.90%	0.90%
DSP Focus Fund	1.75%	1.00%	1.00%	1.00%
DSP Equity Fund	1.75%	1.00%	1.00%	1.00%
DSP TOP 100 EQUITY	1.75%	1.00%	1.00%	1.00%
DSP India T.I.G.E.R Fund	1.75%	1.10%	1.10%	1.10%
DSP Mid Cap Fund	1.75%	0.90%	0.90%	0.90%
DSP Small Cap Fund	1.75%	1.10% (0.90% + 0.20%)	1.10% (0.90% + 0.20%)	1.10% (0.90% + 0.20%)
DSP Natural Resources And New Energy Fund	1.50%	1.25%	1.25%	1.25%
DSP Healthcare Fund	0.75%	1.30%	1.30%	1.30%
DSP Quant Fund	0.00%	0.65%	0.65%	0.65%
DSP Arbitrage Fund	0.00%	0.55%	0.55%	0.55%
Equity Index Fund				
DSP Equal Nifty 50 Fund	0.00%	0.45%	0.45%	0.45%
DSP Nifty 50 Index Fund	0.00%	0.15%	0.15%	0.15%
DSP Nifty Next 50 Index Fund	0.00%	0.30%	0.30%	0.30%
ELSS				
DSP Tax Saver Fund	1.75%	0.90%	0.90%	0.90%
Fund of Funds				
DSP Global Allocation Fund	1.50%	0.60%	0.60%	0.60%
DSP US Flexible Equity Fund	1.50%	0.80%	0.80%	0.80%
DSP World Agriculture Fund	1.50%	0.65%	0.65%	0.65%
DSP World Gold Fund	1.50%	0.65%	0.65%	0.65%
DSP World Mining Fund	1.50%	0.60%	0.60%	0.60%
DSP World Energy Fund	1.50%	0.60%	0.60%	0.60%
Fixed Income				
DSP Bond Fund	0.00%	0.40%	0.40%	0.40%
DSP Credit Risk Fund	1.50%	0.70%	0.70%	0.70%
DSP Banking and PSU Debt Fund	0.00%	0.25%	0.25%	0.25%
DSP Short Term Fund	0.00%	0.65%	0.65%	0.65%
DSP Strategic Bond Fund	0.00%	0.65%	0.65%	0.65%
DSP Government Securities Fund	0.00%	0.50%	0.50%	0.50%
DSP 10Y G-Sec Fund	0.00%	0.20%	0.20%	0.20%
DSP Ultra Short Fund	0.00%	0.70%	0.70%	0.70%
DSP Low Duration Fund	0.00%	0.25%	0.25%	0.25%
DSP Savings Fund	0.00%	0.20%	0.20%	0.20%
DSP Corporate Bond Fund	0.00%	0.25%	0.25%	0.25%
Money Market				
DSP Liquidity Fund	0.00%	0.05%	0.05%	0.05%

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DSP Overnight Fund	0.00%	0.05%	0.05%	0.05%

Brokerage Notes

- 1) Brokerage rates mentioned above are applicable for all the purchases made from 1st Mar 2020 to 31st Dec 2020, Trail Brokerage: The trail amount is calculated on the basis of 'Daily Average Assets' on the NAV. This is paid in arrears at the end of each month (unless specified otherwise)
- 2) B-30 Special Trail incentive: Additional Trail Brokerage on transactions tagged as coming from B-30 cities, will be subject to recovery (claw back) if the investment (on which such Trail Brokerage was paid) gets redeemed before the completion of one year from the date of investment in the respective scheme. Transactions will be tagged as B30 or T30 purely based on availability of Pincode, City Name and Country name as available in application form as well as KYC data. Distributors should ensure availability and updation of correct pincode, City and Country name in the application and KYC forms.
- 3) Additional B30 Incentive in all applicable schemes will be reviewed on a quarterly basis and shall be paid as trail for 1st year only on investment amount applicable for individual investors only. The inflows of amount upto Rs 2,00,000/- per transaction by individual investors shall be considered as inflows from retail investors for additional B-30 incentives. Distributor should ensure that the transactions of amount greater than Rs 2,00,000/- are not split and AMC reserves a right to aggregate multiple transactions on the same day to determine applicability of B30 incentive. In case of multiple instances, AMC reserves a right to report such transactions to regulatory authorities.
- 4) Switches: Inter scheme switches will be treated as a normal purchase. Trail Brokerage will be paid on switches made between schemes (and not plans within the same scheme), which will be treated like a normal purchase as mentioned above.
- 5) Systematic Investment Plan (SIP)/ Systematic Transfer Plan (STP): The Brokerage would be paid as per the rate prevalent at the time of the trigger of the SIP/STP installment and not the as per the rate prevalent at the time of registration of the SIP/STP.
- 6) The Brokerage structure communicated for schemes of DSP Mutual Fund (DSPMF) from time-to-time is on an all-inclusive basis (gross rate), i.e. inclusive of any cess, charges, taxes etc that may be incurred by DSP Investment Managers Pvt. Ltd. (DSPIM) and / or DSP Mutual Fund (DSPMF). Further, the Brokerage payable would be subject to all the statutory deductions, including income tax, etc.
- 7) The proposed Brokerage structure is applicable for regular plan only under valid ARN codes. NO Brokerage/Commission (Trail, Special Incentive) will be payable on Direct Plans and transactions with Invalid ARN codes or if the ARNs is suspended / debarred / suspended.
- 8) The rules and regulations of SEBI / AMFI pertaining to Brokerage payment to distributors will also be applicable for payment of the above mentioned Brokerage structure. In case the Brokerage paid to the distributor is found to be in excess of limits defined by the SEBI / AMFI, DSPIM or DSPMF, DSPIM / DSPMF reserves the right to recover / adjust, such excess Brokerage paid to the distributor.
- 9) The brokerage rates for assets mobilized during the current period in all DSP open ended equity, hybrid and ELSS category schemes shall remain constant irrespective of any future change in AUM till the time such assets are redeemed, except in following cases when these rates may be revised downwards: (a) If Total Expense Ratio (TER) undergoes downward revision due to any future regulatory changes. (b) Increase in scheme operating expenses, including GST or similar taxes.
- 10) DSPIM and DSPMF reserves the right to change, withdraw, and / or amend the above mentioned terms and conditions, without any prior notice.
- 11) DSPIM and DSPMF reserve the right to withhold / not pay trail Brokerage or whatsoever Brokerage on any transaction / application, at its sole discretion.

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12) Brokerage payment (all sort) will be made by respective schemes of DSPMF.. The clawback amount can be adjusted against any commission payments to the distributors, whether paid/incurred by DSPMF or DSPIM.

13) The Brokerage /Incentive structure mentioned hereinabove is solely payable to AMFI/NISM certified distributors of DSRIM. DSPIM and DSPMF shall not be responsible for any losses incurred by anyone due to change in the Brokerage structure. All distributors shall abide by the code of conduct and rules/regulations laid down by SEBI and AMFI. Also, it is specifically mentioned that the distributor will neither pass on or rebate Brokerage /incentive back to investors nor tempt them with gifts /rebate. DSPIM/DSPMF will take disciplinary action against any distributor who is found violating the rules, regulations and code of conduct. The distributor shall disclose all commissions (Trail, trail or any other mode) payable to them for the different competing schemes of various Mutual Funds from amongst, which the scheme is being recommended to the investor.

14) DSPIM and DSPMF reserves the right to revise the brokerage with effect from the date of reduction of TERs by SEBI as and when announced during the month.